FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington.	D.C. 20549	

STATEMENT	OF CHANGE	S IN BENEFICIA	AL OWNERSHIP

OMB APPROVAL									
OMB Number: 3235-									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Coe Pamela L</u>				2. Issuer Name and Ticker or Trading Symbol LUNA INNOVATIONS INC [LUNA]						(Chec	k all applica	l applicable)				
(F	First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 04/03/2023								Officer (g	give title		(specify	
301 1ST STREET, SW SUITE 200				4. If Amendment, Date of Original Filed (Month/Day/Year)						Line)	'					
KE V	/A	24011										Form file Person	ed by More t	han One Repo	orting	
(8	State)	(Zip)	I	☐ Che	ck this box to	indica	ite that a tran	sact	ion was ma	de pursuant		instruction or	written plan tl	hat is intended t	o satisfy the	
	Т	able I - Non-D	eriva	tive S	ecurities	Acc	uired, D	isp	osed of	f, or Ben	eficially (Owned				
Date		te	Execution Date,		Transaction Disposed Of (D) Code (Instr.				Securities Beneficiall Owned Fol	y (E	Form: Direct	7. Nature of Indirect Beneficial Ownership				
							Code		Amount	(A) or (D)	Price	Transactio			(Instr. 4)	
												wned	,			
2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)			Derivative Securities Acquired (A Disposed of	A) or f (D)			Expiration Date of S (Month/Day/Year) Und		of Securiti Underlying Security (I	es g Derivative	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	Ownersh Form: Direct (D or Indire (I) (Instr.	Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable			Title	Amount or Number of Shares		(Instr. 4)	11(5)		
(1)	04/03/2023		Α		1,483.05 ⁽²⁾		(3)		(3)	Common Stock	1,483.05	\$0	29,013.1	9 D		
(1)	04/03/2023		A		176.55 ⁽⁴⁾		(3)		(3)	Common Stock	176.55	\$0	29,189.7	4 D		
(1)	04/03/2023		A		176.55 ⁽⁵⁾		(3)		(3)	Common Stock	176.55	\$0	29,366.29	9 D		
	mela L (F STREET, S 200) KE V (S Security (Instance of Derivative Security (Instance of Derivati	(First) STREET, SW 200 KE VA (State) T Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security (1) 04/03/2023 (1) 04/03/2023	(First)	Table II - Non-Deriva Security (Instr. 3) Table II - Derivative (e.g., pu Conversion or Exercise Price of Derivative Security (a) (b) Table II - Derivative (e.g., pu A Table II - Non-Deriva	Code V Code C	mela L (First) (Middle) STREET, SW (OO KE VA 24011 (State) (Zip) Table I - Non-Derivative Securities Faccurity (Instr. 3) Table II - Derivative Securities A (e.g., puts, calls, warra (Month/Day/Year) Table II - Derivative Securities A (e.g., puts, calls, warra 2. Transaction or Exercise Price of Date (Month/Day/Year) Price of Derivative Securities A (month/Day/Year) Conversion or Exercise Price of Date (Month/Day/Year) Conversion of Exercise Price of Date (Month/Day/Year) Code (Instr. 3, 4 a 5) Code V (A) (1) 04/03/2023 A 1,1483.05(2) (1) 04/03/2023 A 1,176.55(4)	Code Code	Code Instruction Code	Code Conversion or Exercise Price of Date (Month/Day/Year) Code (Instr. 8) C	Code Instruction Conversion of Exercise of Derivative Security	Code Name Conversion Co	Check this box to indicate that a transaction was made pursuant to a contract, affirmative defense conditions of Rule 10b5-1(c). See instruction 10. Check this box to indicate that a transaction was made pursuant to a contract, affirmative defense conditions of Rule 10b5-1(c). See instruction 10. Check this box to indicate that a transaction was made pursuant to a contract, affirmative defense conditions of Rule 10b5-1(c). See instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially of Execution Date (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) A Securities Acquired (A) or Date (e.g., puts, calls, warrants, options, convertible securities) Code V Amount A Securities Amount of Stock Amount of Securities Amount of Stock Amount o	Check all applica Chec	Check all applicable Street Check all applicable Check all applicab	Conversion Con	

- 1. Stock units are convertible into issuer's common stock on a 1-for-1 basis.
- 2. This grant was made pursuant to the issuer's non-employee director compensation policy, as compensation for Ms. Coe's service as a member of the board of directors for the period from April 1, 2023 to June 30, 2023. The number of restricted stock units is equal to \$10,500.00 divided by \$7.08, the closing price of the issuer's common stock on the Nasdaq Capital Market April 3, 2023, the first trading day of the quarter. The Reporting Person elected to receive fees in stock units.
- 3. The stock units become issuable in common stock of the issuer at the election of the Reporting Person upon the earliest to occur of the Reporting Person's termination of service, a change in control of the issuer, an unforeseeable emergency, or a fixed date selected by the Reporting Person. The units have no expiration date.
- 4. This grant was made pursuant to issuer's non-employee director compensation policy, as compensation for Ms. Coe's service on the audit committee of the board of directors for the period from April 1, 2023 to June 30, 2023. The number of restricted stock units is equal to \$1,250.00 divided by \$7.08, the closing price of issuer's common stock on the Nasdaq Capital Market on April 3, 2023, the first trading day of the quarter. The Reporting Person elected to receive fees in stock units.
- 5. This grant was made pursuant to issuer's non-employee director compensation policy, as compensation for Ms. Coe's service on the nominating and governance committee of the board of directors for the period from April 1, 2023 to June 30, 2023. The number of restricted stock units is equal to \$1,250.00 divided by \$7.08, the closing price of issuer's common stock on the Nasdaq Capital Market on April 3, 2023, the first trading day of the quarter. The Reporting Person elected to receive fees in stock units.

Remarks:

/s/ Scott A. Graeff, Attorney-In-Fact

04/04/2023

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.