SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>WILLIAMSON JOHN B III</u>	2. Date of Even Requiring State (Month/Day/Yea 01/12/2010	ment	3. Issuer Name and Ticker or Trading Symbol LUNA INNOVATIONS INC [LUNA]					
(Last) (First) (Middle) C/O LUNA INNOVATIONS INCORPORATED	_		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner		(Mor	5. If Amendment, Date of Original Filed (Month/Day/Year)		
1 RIVERSIDE CIRCLE, SUITE 400			Officer (give title below)	Other (spe below)	· [0.11	dividual or Joint/Group Filing (Check licable Line) K Form filed by One Reporting Person		
(Street) ROANOKE VA 24016							y More than One	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			Nature of Indirect Beneficial Ownership nstr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Option (Right to Buy)	(1)	01/12/2020	Common Stock	120,000	4.43	D		

Explanation of Responses:

1. Of the Shares subject to the Option, 40,000 shares will vest upon the first anniversary and the remaining 80,000 shares will vest in equal monthly installments over the following 24 months.

02/03/2010 /s/ Talfourd H. Kemper, Jr. Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.