SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Engebritson Lori D	2. Date of Event Requiring Stater Month/Day/Yea 06/02/2006	nent	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>LUNA INNOVATIONS INC</u> [ LUNA ]					
(Last) (First) (Middle) C/O LUNA INNOVATIONS, INCORPORATED			4. Relationship of Reporting Perso (Check all applicable) Director Officer (give title	10% Owne Other (spe	er (Mo	<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check</li> </ul>		
2851 COMMERCE STREET			A below) VP Human Reso	below) urces	App	Applicable Line)		
(Street) BLACKSBURG VA 24060							y More than One	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
		mount of Securities eficially Owned (Instr. 4) Source (I) or Indirect (I) (Instr. 5)		cṫ(D) (Inst	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit		4. Conversior or Exercise	e Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Employee Stock Option (Right to Buy)	(1)	09/22/2013	Common Stock	84,785	0.3538	D		
Employee Stock Option (Right to Buy)	(2)	09/22/2013	Common Stock	1,527	0.3538	D		
Employee Stock Option (Right to Buy)	(3)	04/26/2015	Common Stock	5,653	0.3538	D		
Employee Stock Option (Right to Buy)	(4)	05/20/2015	Common Stock	84,785	0.3538	D		
Employee Stock Option (Right to Buy)	(5)	02/08/2007	Common Stock	42,393	1.7692	D		

Explanation of Responses:

1. 100% of the option shares became exercisable on September 22, 2005.

2. 100% of the option shares became exercisable on September 22, 2003.

3. 1/4 of the option shares will become exercisable on January 1, 2007. The remaining option shares are exercisable at a rate of 1/36 per month thereafter.

4. 1/4 of the option shares became exercisable on May 20, 2006. The remaining option shares are exercisable at a rate of 1/36 per month thereafter.

5. 1/2 of the option shares became exercisable on February 8, 2006. The remaining option shares are exercisable at a rate of 1/24 per month thereafter.

<u>/s/ Aaron S. Hullman, Esq.,</u> <u>Attorney-in-Fact</u>

06/02/2006

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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