SEC Form 4	
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1. Title

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to	
Section 16. Form 4 or Form 5	
obligations may continue. See	
nstruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

1. Name and Address of Reporting Person* WILLIAMSON JOHN B III			2. Issuer Name and Ticker or Trading Symbol <u>LUNA INNOVATIONS INC</u> [LUNA]		tionship of Reporting Per all applicable) Director	son(s) to Issuer 10% Owner		
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/01/2019		Officer (give title below)	Other (specify below)		
301 1ST STREET SW, SUITE 200		200	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) ROANOKE	VA	24011		X	Form filed by One Rep Form filed by More tha Person	0		
(City)	(State)	(Zip)						
		Table I - Non-Deriv	vative Securities Acquired, Disposed of, or Benefic	cially O	wned			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date (Month/Day/Year)	 3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
	Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/)			of Securities Underlying Derivative Security (Instr. 3 and		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Units	(1)	10/01/2019		Α		1,644.74 ⁽²⁾		(3)	(3)	Common Stock	1,644.74	\$5.7	185,087.08	D	
Stock Units	(1)	10/01/2019		Α		175.44 ⁽⁴⁾		(3)	(3)	Common Stock	175.44	\$5.7	185,262.52	D	
Stock Units	(1)	10/01/2019		Α		175.44 ⁽⁵⁾		(3)	(3)	Common Stock	175.44	\$5.7	185,437.96	D	

Explanation of Responses:

1. Stock units are convertible into issuer's common stock on a 1-for-1 basis.

2. This grant was made pursuant to the issuer's non-employee director compensation policy, as compensation for Mr. Williamson's service as a member of the board of directors for the period from October 1, 2019 to December 31, 2019. The number of restricted stock units is equal to \$9,375.00 divided by \$5.70, the closing price of the issuer's common stock on the Nasdaq Capital Market on October 1, 2019, the first trading day of the quarter. The Reporting Person elected to receive fees in stock units.

3. The stock units become issuable in common stock of the issuer at the election of the Reporting Person upon the earliest to occur of the Reporting Person's termination of service, a change in control of the issuer, an unforeseeable emergency, or a fixed date selected by the Reporting Person. The units have no expiration date.

4. This grant was made pursuant to the issuer's non-employee director compensation policy, as compensation for Mr. Williamson's service on the audit committee of the board of directors for the period from October 1. 2019 to December 31, 2019. The number of restricted stock units is equal to \$1,000.00 divided by \$5.70, the closing price of issuer's common stock on Nasdaq Capital Market on October 1, 2019, the first trading day of the quarter. The Reporting Person elected to receive fees in stock units.

5. This grant was made pursuant to issuer's non-employee director compensation policy, as compensation for Mr. Williamson's service on the nominating and governance committee of the board of directors for the period from October 1, 2019 to December 31, 2019. The number of restricted stock units is equal to \$1,000.00 divided by \$5.70, the closing price of issuer's common stock on the Nasdaq Capital Market on October 1, 2019, the first trading day of the quarter. The Reporting Person elected to receive fees in stock units.

Remarks:

<u>/s/ Scott A. Graeff, Attorney</u>	<u>-In-</u>	10/02/2010
Fact		10/03/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.