Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	DC	20549
vvasilington,	D.O.	20070

	Washingt	on, D.C. 20549	
<b>STATEMENT</b>	OF CHANGES	IN BENEFICIAL	<b>OWNERSHIP</b>

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL							
OMB Number: 3235-0287							
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							( ) .				,								
Name and Address of Reporting Person*     Soller Brian					2. Issuer Name <b>and</b> Ticker or Trading Symbol  LUNA INNOVATIONS INC [ LUNA ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Soner I	<u>onan</u>				DOTAL MILOTO INC [ DOTA ]										Direc	tor		10% O	wner
(14)			4: -1 -11 - 1		2. Date of Fadicat Transaction (Manth/Day/Man)								—	X	Office below	er (give title /)		Other (: below)	specify
(Last)	(Fir	rst) (M	/liddle)		3. Date of Earliest Transaction (Month/Day/Year) 01/06/2023									Chief Operating Officer					
301 1ST STREET, SW						01/00/2023													
SUITE 200																			
-					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)														X	Form	filed by On	e Rep	orting Pers	on
ROANO	KE VA	2	4011											Form filed by More than One Reporting					
,															Perso			32 op	3
(City)	(St	ate) (2	Zip)																
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired	, Dis	posed of	, or B	enefi	cially	/ Own	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day				Execution Date,		3. Transaction Code (Instr. 3, 8)  4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)				4 and Securi Benefi Owned Repor		rities For eficially (D) ed Following (I) (		n: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
						Н	(A) or		` '										
			Code	٧	Amount	(A) o (D)	Pri			3 and 4)									
Common Stock 01/06/2					2023			F		3,019(1)	D	\$9	.76(2)	6 <sup>(2)</sup> 255,155			D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
				(e.g., pu	ıts, ca	alls, v	warra	ants,	optio	ns, c	convertib	le sec	uriti	es)					
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Date, Tr Security or Exercise (Month/Day/Year) if any Co		4. Transa Code ( 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)				ative derivative rity Securities		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)			
					Code	v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amour or Number of Shares	er					

## **Explanation of Responses:**

- 1. These shares were repurchased by the Issuer pursuant to the election of the holder to satisfy tax withholdings requirements with respect to the vesting of restricted stock awards.
- 2. The price represents the closing sales price of the Issuer's common stock on the date of the vesting.

## Remarks:

/s/ Scott A. Graeff, Attorney-In-Fact

01/09/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.