SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 06/02/2006				nent	3. Issuer Name and Ticker or Trading Symbol <u>LUNA INNOVATIONS INC</u> [LUNA]						
INCORPORA	(First) NNOVATIONS TED ERSON ST, SW	(Middle)				ionship of Reporting Perso all applicable) Director Officer (give title below)	on(s) to Issue 10% Owne Other (spe below)	er (Mol 06/0	5. If Amendment, Date of Original Filed (Month/Day/Year) 06/02/2006		
(Street) ROANOKE	VA (State)	24016 (Zip)				,	,		licable Line) Form filed b	l/Group Filing (Check y One Reporting Person y More than One erson	
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownersh Form: Direc or Indirect (Instr. 5)	cṫ (D) (Instr	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock						69 5 ⁽¹⁾	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Secur Underlying Derivative Securi			4. Conversion or Exercise		6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratio Date	on Title		Amount or Number of Shares	Price of Derivative Security	or Indirect (I) (Instr. 5)		

Explanation of Responses:

1. These shares of Common Stock were omitted from the reporting person's original Form 3 filing, but were included as appropriate in subsequent Form 4 filings under Table 1, Column 5, Amount of Securities Beneficially Owned Following Reported Transaction(s).

Aaron S. Hullman, attorney-in-02/12/2007

<u>fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.